

P23941.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<p>Attorney Docket No. P23941 Total Pages _____</p> <p>Inventors or Application Identifier Ralf MEYER, Sönke HORN, Matthias JAHNKE, and Andreas RINKE</p> <p>Title: PROCESS AND DEVICE FOR ASSEMBLING GROUPS OF FILTER SEGMENTS</p>

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

22389 U.S. PTO
2210/645606
08/22/03

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>22</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>2</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>4</u>]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed</p> <p>a. <input checked="" type="checkbox"/> Claim of Priority</p> <p>b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>HAUNI Maschinenbau AG</u> of Hamburg, GERMANY</p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Filed Under 37 C.F.R. 1.53(b) and 1.53(f)</u></p> <p>_____</p> <p>_____</p> <p>_____</p>	
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.</p>	

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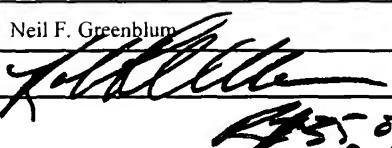
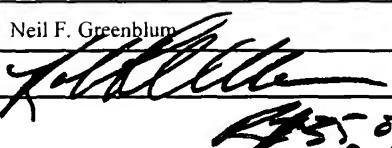
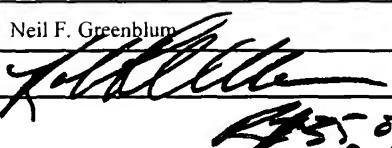
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

August 22, 2003
Date

[Signature] *57435-073*
Signature
Neil F. Greenblum, Reg. No., 28,394
Typed or Printed Name

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13281 U.S. PTO
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FEE TRANSMITTAL

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<input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u>		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Design issue fee</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Plant issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Submission of IDS</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="6" style="text-align: right; padding-right: 10px;">SUBTOTAL (1) (\$ 750.00)</td> </tr> <tr> <td colspan="6" style="text-align: right; padding-right: 10px;">2. 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Greenblum</td> <td>Reg. Number</td> <td colspan="3">28,394</td> </tr> <tr> <td colspan="2">Signature</td> <td>Date</td> <td colspan="3">Deposit Account User ID</td> </tr> <tr> <td colspan="2"></td> <td>08/22/03</td> <td colspan="3">19-0089</td> </tr> </tbody></table>				Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR						Prior to Examiner action						Requesting publication of SIR after Examiner action		1805	1,840*	1805	1,840*	Extension for response within 1st month		1251	110	2251	55	Extension for response within 2nd month		1252	410	2252	205	Extension for response within 3rd month		1253	930	2253	465	Extension for response within 4th month		1254	1,450	2254	725	Extension for response within 5th month		1255	1,970	2255	985	Notice of Appeal		1401	320	2401	160	Filing a brief in support of an appeal		1402	320	2402	160	Request for oral hearing		1403	280	2403	140	Petition to institute a public use proceeding		1451	1,510	1451	1,510	Petition to revive unavoidably abandoned application		1452	110	2452	55	Petition to revive unintentionally abandoned application		1453	1,300	2453	650	Utility issue fee (or reissue)		1501	1,300	2501	650	Design issue fee		1502	470	2502	235	Plant issue fee		1503	630	2503	315	Petitions to the Commissioner		1460	130	1460	130	Petitions related to provisional applications		1807	50	1807	50	Submission of IDS		1806	180	1806	180	Recording each patent assignment per property (times number of properties)		8021	40	8021	40	Filing a submission after final rejection (37 CFR 1.129(a))		1809	750	2809	375	For each additional invention to be examined (37 CFR 1.129(b))		1810	750	2810	375	Request for Continued Examination (RCE)		1801	750	2801	375	Request for expedited examination of a design application		SUBTOTAL (1) (\$ 750.00)						2. 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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ralf MEYER et al.

Serial No :Not Yet Assigned

Filed :Concurrently Herewith

For : PROCESS AND DEVICE FOR ASSEMBLING GROUPS OF FILTER SEGMENTS

**COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION
FILED UNDER 37 C.F.R. 1.53(b) and 1.53(f)**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b) and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to the above, this application should be associated with Customer Number 7055, which includes the present correspondence address as follows:

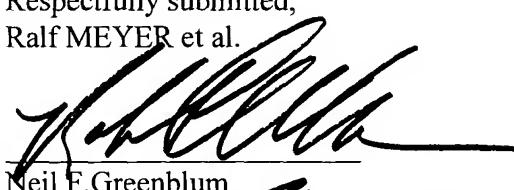
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191

The above-identified application includes:

- 22 pages of specification with abstract.
- 2 sheets of drawings with 2 figures.
- 34 total claims and 6 independent.
- Claim of Priority & Certified Copy of European Appln.
No. 02 019 534.3, filed September 2, 2002.
- Information Disclosure Statement, Form PTO-1449, with cited references.
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,
Ralf MEYER et al.


Neil F. Greenblum
Reg. No. 28,394

R# 55.873

August 22, 2003
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